THE DILIGENT SOLUTION FOR SOX COMPLIANCE

Simplify Your SOX Management & Control Testing

Software to help you manage your SOX program, centralize controls, streamline collaboration, & make reporting & certification easier

End Spreadsheets, Emails, Checklists & Sampling

The HighBond platform frees you from the time, cost, complexities, and shifting landscapes of SOX compliance. Our platform centralizes your program, so you can eliminate menial tasks, remove spreadsheet silos and version control issues, and collaborate with stakeholders— all in one place.

Automate SOX compliance with simple workflows to efficiently test your controls, ensure your team is working on the right things, and quickly deliver reports and certification to management and your external auditor. Get your SOX program up and running in just 10 days with our comprehensive, easy-to-use platform.
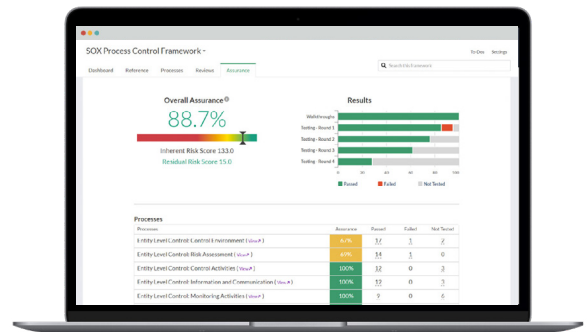

Figure 1: Instant reporting from your SOX library on progress and outcomes

"We are doing more work with more companies, with the same resources, so clearly the software has helped us. And we've probably increased our output 30% in terms of the number of controls we tested from 2015 until now."

Richard Hirsch,
VP, internal audit, Steel Partners

SOX Compliance Teams Are Seeing Results with Diligent:

50%
Savings in technology licensing

20 hrs./wk.
Saved in manual reviews

Cost
Reduction in third-party audits

Program Setup & Management

- Align to COSO 2013 Internal Controls Framework
- Manage your process-risk-control library
- Create SOX templates for consistency and reusability
- Model your entity structure
- Rationalize and harmonize controls to reduce unnecessary work

Testing & Documentation

- Get a control portal overview by SOX team and by process or control owner
- Collaborate on PBC requests and self-assessments
- Make walk-throughs and testing simple, and easily link documented evidence
- Manage issues life cycles from end to end

Certification & Reporting

- Automate control testing
- Monitor process performance
- Streamline 302 certification, testing and reviews
- Create dashboards for PMO status and entity or issue reporting

Highlighted Features

Control Oversight & Reporting

Oversee the status of all your controls, get details on any aspect of a control with a single click, and stay up to date on control performance.

Easily view and report on your SOX program, with one-click reports, dashboards, and completed reviews. We make it simple to generate reports for management, PMO, or external auditors—by entity, process, control status, or issues.

Evidence Citation

With Citation Mode, easily markup and link to evidence, like policy or procedure manuals, regulations, SLAs/SLSs, and contracts. Track evidence directly from your walk-throughs and control test write-ups—for quick one-click reviewing.

Issue Management

Capture issues and delegate to owners to update status and manage action plans directly. Assign actions, track and remediate issues, monitor trends, and report on resolutions.

Control Testing & PBC Requests

Every SOX tester and process or control owner can collaborate within the system to test controls. The system enables efficient response to requests and self-assessments—allowing your team to easily track everything in one place.

To broaden your coverage, increase efficiency, and reduce outsourcing, automate your control testing to pass or fail controls based on real-time assessments.

Centralized Risk & Control Library

Struggling with managing thousands of risks and hundreds of controls? Build a master SOX library by process, risk, and control area—for easy roll-out and reuse across any business entity. Built-in change management cascades master control updates throughout your SOX projects.

The platform allows you to rationalize low-risk or non-key controls to avoid unnecessary work, and to harmonize controls that comply with multiple regulations.

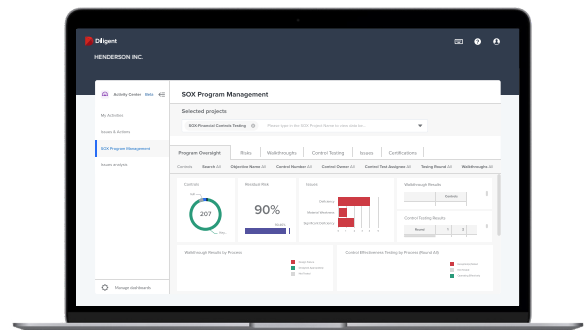Plus, get a real-time assurance report card on your SOX compliance.

Figure 2. A dashboard view of your SOX program

About Diligent™

Diligent created the modern governance movement. As the leading governance, risk and compliance (GRC) SaaS company, we serve 1 million users from over 25,000 customers around the globe. Our innovative platform gives leaders a connected view of governance, risk, compliance and ESG across their organization. Our world-changing idea is to empower leaders with the technology, insights and connections they need to drive greater impact and accountability – to lead with purpose.

For more information or to request a demo, contact us today:
Email: info@diligent.com | Call: +1 877 434 5443 | Visit: diligent.com